


**FORMALITIES LETTER**


\*OC000000004978898\*


**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

 Address: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/478,156	01/05/2000	Edward L Bayiates	M0995/7002/PJG/AR

 Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210

**COPY**

Date Mailed: 03/01/2000

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**
**FILED UNDER 37 CFR 1.53(b)**
***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2394.
  - \$522 for 29 total claims over 20.
  - \$1872 for 24 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 3214.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

Wolf, Greenfield & Sacks, P.C.  
PTFM Account

INVOICE NUMBER

INVOICE  
DATE

CLIENT

MATTER

AMOUNT

M0995

7002

1,607.00

440000656

COPY

CHECK TOTALS

1,607.00

CHECK TOTALS

Wolf, Greenfield & Sacks, P.C.  
PTFM Account  
600 Atlantic Avenue  
Boston, MA 02210

 **StateStreet**  
State Street Bank and Trust Company  
Boston, Massachusetts 02101  
5-2/110

44 0000657  
440000656

DATE

May 01, 2000

AMOUNT

\$ 1,607.00

PAY One Thousand Six Hundred Seven AND 00/100 DOLLARS

TO THE  
ORDER  
OF

U.S. PATENT AND TRADEMARK OFFICE

M0995/7002

  
NON NEGOTIABLE

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ATTORNEY'S DOCKET NO: M0995/7002/PJG/AR/

EEF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Edward L. Bayiates  
Serial No: 09/478,156  
Filed: January 5, 2000  
For: Storing and Retrieving the Visual Form of Data  
Examiner:  
Art Unit:

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231, on May 1, 2000.

Peter J. Gordon

BOX MISSING PARTS  
Assistant Commissioner For Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is the response to the Notice to File Missing Parts of Application--Filing Date Granted mailed March 1, 2000.

	Number of Claims Filed	Claims Included in Basic Fee	Extra	Additional Fee
TOTAL CLAIMS	49 -	20 =	29 x \$9 =	\$ 261.00
INDEP. CLAIMS	27 -	3 =	24 x \$39 =	\$ 936.00
BASIC FILING FEE FOR UTILITY APPLICATION UNDER 37 CFR §1.16(a)=				\$ 345.00
SURCHARGE AS SET FORTH IN 37 CFR 1.16(e)				\$ 65.00
TOTAL FEE FOR FILING THIS RESPONSE			=	\$ 1607.00

DOCKETED  
MAY 6 2000

DOCKETING  
Cross Off  
Date Entered

Transmitted herewith are the following documents:

**COPY**

- [ ☒ ] Notice to File Missing Parts
- [ ☒ ] Declaration for Patent Application (signed)
- [ ☒ ] Declaration Claiming Small Entity Status (signed)
- [ ☒ ] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$1,607.00 is enclosed to cover the filing fee. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825.

Respectfully submitted,



Peter J. Gordon, Reg. No. 35,164  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
Tel. (617) 720-3500

PJG/AR/ral  
Docket No. M0995/7002  
Date: May 1, 2000  
**X May 1, 2000**

COPY

Serial No. 09/478,156 File No. 10935/7002 By: PIG/AR/ka  
Title: Storing & Retrieving the Visuo Form  
Applicant: Bayer AG  
The USPTO Mail Room acknowledges receipt of the following on the date stamped hereon:  
Mailing by Express Mail (37 CFR 1.10) WGS Date: 5/1/00  
Express Mail Label No. 3  
☐ Patent Application  
☐ Non-provisional ☐ Provisional  
Incl. 1 pages, 1 (pgs) Specification,  
1 (pgs) Abstract, 1 (pgs) Claims 1 # claims  
☒ Design Patent Application  
☒ Declaration(s) 1  
☒ Drawings 1 Sheet(s) (FIGS. 1)  
☐ Formal ☐ Informal  
☐ Utility Patent Application  
☐ Fee calculation sheet (x2)  
☒ CPA Transmittal  
☒ Verified Statement claiming small entity status  
☐ Assignment and Cover Sheet  
☐ Other  
Provisional Application Cover Sheet  
Multiple Dependent Claim Fee Sheet  
Int. Disc. Statement, PTO Form 1449  
☐ References Cited  
☒ Priority Document(s) #  
Copy of Notice to File Missing Parts  
Amendment/Response  
Petition for Ext. of Time (x2)  
Issue Fee Transmittal  
Letter to Official Draftsperson  
Notice of Appeal  
Brief (x3)  
Check for \$ 1607 Check # 657  
Transmittal Letter 1  
Cert. of Mailing under 37 CFR 1.8(a)  
O I P E X MAY 03 2000  
PATENT & TRADEMARK OFFICE  
DATE MAILED 5/1/00

**COPY**

Attorney's Docket No. M0995/7002

Applicants: Edward L. Bayiates  
Serial No.: 09/478,156  
Filed: January 5, 2000  
For: STORING AND RETRIEVING THE VISUAL FORM OF DATA

**DECLARATION CLAIMING SMALL ENTITY STATUS  
(SMALL BUSINESS CONCERN)**

I hereby declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Mantra Software, Inc.  
ADDRESS OF CONCERN: 1900 West Park Drive  
Westborough, Massachusetts 01581

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled STORING AND RETRIEVING THE VISUAL FORM OF DATA by inventor, Edward L. Bayiates, described in U.S. patent application serial no. 09/478,156, filed January 5, 2000.

If the rights held by the small business concern are not exclusive, each individual concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

☒ no such person, concern, or organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge

that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

TITLE OF PERSON IF OTHER THAN OWNER:

ADDRESS OF PERSON SIGNING:

Ajit S. Nagral

President

5 Faulkner Road

Shrewsbury, Massachusetts 01545

Chairman & Executive VP

COPY



SIGNATURE:

April 27, 2000

DATE: